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August 19, 2004

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Art Unit 2634

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Appl. No. 09/632,856; Filed: August 4, 2000

Wireless Local Area Network (WLAN) Using Universal Frequency

Translation Technology Including Multi-Phase Embodiments and

Circuit Implementation

Inventors:

Sorrells et al.

Our Ref:

1744.0630003

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. SKGF Cover Letter;
- 2. Fee Transmittal (Form PTO/SB/17);
- 3. Third Supplemental Information Disclosure Statement;
- 4. Form PTO-1449 (6 pages);
- 5. Return postcard; and
- 6. PTO-2038 Credit Card Payment Form for \$180.00 to cover: \$180.00 for IDS Late Filing Surcharge.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

Commissioner for Patents August 19, 2004 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jeffrey T. Helvey

Attorney for Applicants

JH Helver

Registration No. 44,757

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Name (Print/Type) Jeffrey T. Helvey		Registra (Attorney		•	44.	757 Telephone	(202) 37	1-2600
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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SORRELLS et al.

Appl. No.: 09/632,856

Filed: August 4, 2000

For: Wireless Local Area Network (WLAN) Using Universal

Frequency Translation Technology

Including Multi-Phase Embodiments and Circuit

Implementation

Confirmation No.: 2377

Art Unit: 2634

Examiner: Kim, Kevin

Atty. Docket: 1744.0630003

Third Supplemental Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Third Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Second Supplemental Information Disclosure Statement filed on January 23, 2004 in connection with the above-captioned application.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

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Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

Applicants provide the following comments regarding the documents:

Documents AL23, AI56, AA57, AB57, AO59, and AF61 were cited in an Office Action in related U.S. Patent Application No. 09/669,634, filed September 26, 2000, entitled "High Frequency Translator and Method of High Frequency Translation," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 6,049,706; 6,421,534; and 6,560,301, which have already been cited in the present application.

Documents AF56, AG56, AAC7, and AD57 are co-owned patents which are directed to related subject matter.

Documents AF56, AG56, AC57, AD57, and AI59 were cited in a Notice of Allowance in related U.S. Patent Application No. 09/838,387, filed April 20, 2001, entitled "Method and System for Down-Converting and Up-Converting an Electromagnetic Signal, and Transforms for Same," directed to related subject matter. Also cited in said Notice of Allowance were U.S. Patent Nos. 5,937,013; 6,061,551; and 6,647,250, which have already been cited in the present application.

Document AH56 was cited in an Office Action in related U.S. Patent Application No. 09/567,977, filed May 10, 2000, entitled "Optical Down-converter Using Universal Frequency Translation Technology," directed to related subject matter.

Documents AE57-AH57 were cited in an Office Action in related U.S. Patent Application No. 09/567,978, filed May 10, 2000, entitled "Carrier and Clock Recovery Using Universal Frequency Translation," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 5,937,013, which has already been cited in the present application.

Documents AI57 and AA58 were cited in a Notice of Allowance in related U.S. Patent Application No. 10/330,219, filed December 30, 2002, entitled "Methods and Systems for Down-Converting Electromagnetic Signals, and Applications Thereof," directed to related subject matter.

Documents AB58-AI58 and AA59-AD59 were cited in an Office Action in related U.S. Patent Application No. 09/566,188, filed May 5, 2000, entitled "Integrated Frequency Translation and Selectivity with Gain Control Functionality, and Applications Thereof," directed to related subject matter.

Documents AE59-AG59 were cited in an Office Action in related U.S. Patent Application No. 09/569,044, filed May 10, 2000, entitled "Universal Platform Module and Methods and Apparatuses Relating Thereto Enabled by Universal Frequency Translation Technology," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 2,057,613; 2,241,078; 2,283,575; 2,358,152; 2,410,350; 2,451,430; 2,472,798; 4,653,117; and 5,241,561, which have already been cited in the present application.

Document AH59 was cited in an Office Action in related U.S. Patent Application No. 10/289,377, filed November 7, 2002, entitled "Method and Apparatus for Reducing DC Offsets in a Communication System," directed to related subject matter. Also cited

in said Office Action were U.S. Pat. Nos. 5,471,665; 5,793,817; and 5,898,912, which have already been cited in the present application.

Documents AA60 and AB60 were cited in an Office Action in related U.S. Patent Application No. 09/525,185, filed March 14, 2000, entitled "Spread Spectrum Applications of Universal Frequency Translation Technology," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 5,339,459; 5,369,789; and 5,937,013, which have already been cited in the present application.

Documents AC60-AF60 were cited in an Office Action in related U.S. Patent Application No. 09/569,045, filed May 10, 2000, entitled "Methods and Apparatuses Relating to a Universal Platform Module and Enabled by Universal Frequency Translation Technology," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 5,339,459 and 5,557,641, which have already been cited in the present application.

Documents AG60-AI60 were cited in an Office Action in related U.S. Patent Application No. 09/590,955, filed June 9, 2000, entitled "Phase-Shifting Applications of Universal Frequency Translation," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 5,339,459, which has already been cited in the present application in a previous Information Disclosure Statement.

Documents AA61-AC61 were cited in an Office Action in related U.S. Patent Application No. 09/550,642, filed April 14, 2000, entitled, "Method and System for Down-Converting an Electromagnetic Signal, and Transforms for Same," directed to related subject matter.

Documents AD61 and AE61 were cited in an Office Action in related U.S. Patent Application No. 10/317,165, filed December 12, 2002, entitled, "Method and Apparatus for Reducing DC Offsets in Communication Systems Using Universal Frequency Translation Technology," directed to related subject matter.

The other documents in the PTO-1449 do not fall within the above categories.

It is noted that some of these documents could be classified in more than one of the above categories.

This statement should not be construed as a representation that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.
- - a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first

cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$_____ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:
 - a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
 - b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

- 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
 6. A concise explanation of the relevance of the non-English language documents

Document AL23 (DE 196 48 915 A1) appears to describe a process of frequency conversion. An English-language translation of document AL23 is enclosed as document AO59.

- 7. Copies of the documents are submitted herewith.
- S. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No 09/525,615, filed March 14, 2000, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).
- 9. No copies of U.S. patents and patent application publications cited on the attached Form PTO-1449 are submitted in accordance with 1276 OG 55 because this application was filed after June 30, 2003.
- ∑ 10. It is expected that the examiner will review the prosecution and cited art in the parent application nos. 09/525,615 and 09/526,041 in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jeffrey T. Helvey Attorney for Applicants Registration No. 44,757

Date: 8 19 04

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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FORM PTO-1449 THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT EXAMINER INITIAL AA AB AC AD AE AF56 6,687,493 B1 02/2004 Sorrells et al. AG56 6,694,128 B1 02/2004 Sorrells et al. AH56 6,031,217 02/2000 Aswell et al. AH56 5,955,992 09/1999 Shattil FOREIGN PATENT DOCUMENTS EXAMINER INITIAL AJ ATTY. DOCKET NO. 1744.0633003 SORRELLS et al. FILING DATE August 4, 2000 U.S. PATENT DOCUMENTS NAME AME AAB AC AD AB AC AF56 6,687,493 B1 02/2004 Sorrells et al. AH56 6,031,217 02/2000 Aswell et al. AH56 5,955,992 09/1999 Shattil FOREIGN PATENT DOCUMENTS EXAMINER INITIAL AJ AJ AJ ATTY. DOCKET NO. 1744.0633003 SORRELLS et al. FILING DATE August 4, 2000 U.S. PATENT DOCUMENTS SORRELLS et al. FILING DATE August 4, 2000 ASWELLS	09/63: ART U 2634 TS	S SUB-CLASS	
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DATE CONSIDERED **EXAMINER**

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Page 2 of 6 APPLICATION NO. ATTY. DOCKET NO. 1744.0630003 09/632,856 **FORM PTO-1449 INVENTORS** SORRELLS et al. THIRD SUPPLEMENTAL **ART UNIT FILING DATE** INFORMATION DISCLOSURE STATEMENT 2634 August 4, 2000 **U.S. PATENT DOCUMENTS EXAMINER** SUB-CLASS | FILING DATE NAME CLASS INITIAL **DOCUMENT NUMBER** DATE 12/1999 Naden et al. **AA57** 5,999,561 **AB57** 6,686,879 B2 02/2004 Shattil Sorrells et al. 03/2004 AC57 6,704,549 B1 AD57 6,704,558 B1 03/2004 Sorrells et al. Peltier 02/1996 **AE57** 5,490,176 5,970,053 10/1999 Schick et al. AF57 AG57 6,078,630 06/2000 Prasanna 07/2003 Morishige et al. 6,600,911 B1 **AH57** 01/1993 Tränkle et al. A157 5,179,731 **FOREIGN PATENT DOCUMENTS EXAMINER** SUB-CLASS TRANSLATION CLASS COUNTRY DOCUMENT NUMBER DATE INITIAL Yes ΑJ No Yes AK No Yes AL No Yes ΑM No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) ΑN AO ΑP AQ AR DATE CONSIDERED **EXAMINER**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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	AB58	+	10,467	04/1985	Chang et al.				
	AC58	4,7	72,853 ———————	09/1988	Hart				
	AD58	4,9	72,436 	11/1990	Halim et al.				
	AE58	5,0	12,245	04/1991	Scott et al.				
	AF58	5,4	22,909	06/1995	Love et al.				
	AG58	5,4	40,311	08/1995	Gallagher et al.				
	AH58	5,9	26,513	07/1999	Suominen et al.				
	A158	5,9	95,030	11/1999	Cabler				
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INITIAL	 	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE	
	AA59	6,047,026	04/2000	Chao et al.				
	AB59	6,049,573	04/2000	Song				
	AC59	6,076,015	06/2000	Hartley et al.				
	AD59	6,144,331	11/2000	Jiang				
	AE59	5,058,107	10/1991	Stone et al.				
	AF59	5,757,858	05/1998	Black et al.				
	AG59	6,531,979 B1	03/2003	Hynes				
	AH59	6,018,262	01/2000	Noro et al.				
	AI59	4,761,798	08/1988	Griswold, Jr. et al.				
		F	OREIGN PA	ATENT DOCUMENTS			Τ	
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION Yes	
	AJ						No Yes	
	AK						No	
	AL						Yes No	
	AM						Yes No	
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		PM PTO 4440	•	ATTY. DOCKET NO. 1744.0630003	APPL 09/63	ICATION NO. 2,856		
	Ю	RM PTO-1449		INVENTORS SORRELLS et al.				
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EXAMINER	T		U.S. PAT	ENT DOCUMENTS				
INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE	
	AA60	5,982,315	11/1999	Bazarjani et al.	_			
	AB60	6,459,721 B1	10/2002	Mochizuki et al.				
	AC60	6,151,354	11/2000	Abbey				
	AD60	6,169,733 B1	01/2001	Lee				
	AE60	6,363,262 B1	03/2002	McNicol				
	AF60	6,697,603 B1	02/2004	Lovinggood et al.				
	AG60	5,282,222	01/1994	Fattouche et al.				
	AH60	5,949,827	09/1999	DeLuca et al.				
	Al60	6,014,176	01/2000	Nayebi et al.				
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			U.S. PAT	ENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE		
	AA61	5,678,226	10/1997	Li et al.		_			
	AB61	5,760,632	06/1998	Kawakami et al.					
	AC61	6,160,280	12/2000	Bonn et al.					
	AD61	5,481,570	01/1996	Winters					
	AE61	5,745,846	04/1998	Myer et al.					
	AF61	5,345,239	09/1994	Madni et al.					
	AG								
	АН								
	AI		005:0::=	ATENT DOCUMENTS					
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	AK						No Yes		
	AL						No Yes		
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